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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|  |                               |                       |
|--|-------------------------------|-----------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | <b>Attorney Docket No.</b>    | 008312-0305056        |
|  | <b>First Inventor</b>         | SEIGO ITO             |
|  | <b>Title</b>                  | See attached addendum |
|  | <b>Express Mail Label No.</b> |                       |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><b>See MPEP chapter 600 concerning utility patent application contents.</b>   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g., PTO/SB/17)</b><br><i>(Submit an original and a duplicate for fee processing)</i><br>Applicant claims small entity status.<br>See 37 CFR 1.27.  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>  |
| 3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>29</b> ]<br><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> | a. <input type="checkbox"/> Computer Readable Form (CRF)  |
| 4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) [Total Sheets <b>10</b> ]   | b. <b>Specification Sequence Listing on:</b> <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul> |
| 5. <b>Oath or Declaration</b> [Total Pages <b>2</b> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/><i>(for continuation/divisional with Box 18 completed)</i></li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>   | c. <input type="checkbox"/> Statements verifying identity of above copies   |
| 6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76  | <b>ACCOMPANYING APPLICATION PARTS</b>   |
|  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
|  | 10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i>                                      |
|  | 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>  |
|  | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations   |
|  | 13. <input type="checkbox"/> Preliminary Amendment  |
|  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>  |
|  | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>   |
|  | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   |
|  | 17. <input checked="" type="checkbox"/> Other <b>Background Art Information Disclosure Sheet</b>  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_


Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.

|   |  |   |                 |  |
|---|--|---|-----------------|--|
| <b>19. CORRESPONDENCE ADDRESS</b>                                     |  |   |                 |  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  | <b>00909</b><br><i>(User Customer No. or Attach bar label here)</i> |                 | or <input type="checkbox"/> Correspondence address below |
| <b>Name</b>   |  |   |                 |  |
| <b>Address</b>  |  |   |                 |  |
| <b>City</b>   |  | <b>State</b>  | <b>Zip Code</b> |  |
| <b>Country</b>  |  | <b>Telephone</b>  | <b>Fax</b>      |  |

|                          |   |  |               |
|--------------------------|---|--|---------------|
| <b>Name (Print/Type)</b> | Glenn J. Perry  | <b>Registration No. (Attorney/Agent)</b> | 28458         |
| <b>Signature</b>         |  | <b>Date</b>                              | July 17, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

21904 U.S. PTO  
10/620707

07/17/03

## Addendum

### Invention Title

INFORMATION RECORDING AND REPRODUCTION APPARATUS,  
INFORMATION RECORDING AND REPRODUCTION METHOD, AND  
BROADCAST RECEIVING APPARATUS

07/17/03  
18334 U.S. P.F.

PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1,042.00

## Complete if Known

Application Number  
Filing Date July 17, 2003  
First Named Inventor SEIGO ITO  
Examiner Name  
Art Unit  
Attorney Docket No. 008312-0305056

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:  
Deposit Account Number 033975  
Deposit Account Name PILLSBURY WINTHROP LLP

The Commissioner is authorized to: (check all that apply)  
☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid     |
|----------------------------|----------------------------|------------------------|--------------|
| 1001 750                   | 2001 375                   | Utility filing fee     | 750.00       |
| 1002 330                   | 2002 165                   | Design filing fee      |              |
| 1003 520                   | 2003 260                   | Plant filing fee       |              |
| 1004 750                   | 2004 375                   | Reissue filing fee     |              |
| 1005 160                   | 2005 80                    | Provisional filing fee |              |
| SUBTOTAL (1)               |                            |                        | (\$ ) 750.00 |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 20 -20\*\* = 0 x Fee from below = 0  
Independent Claims 6 -3\*\* = 3 x 84 = 252  
Multiple Dependent

| <u>Large Entity</u> |          | <u>Small Entity</u> |          | <u>Fee Description</u>                                     |
|---------------------|----------|---------------------|----------|--|
| Fee Code            | Fee (\$) | Fee Code            | Fee (\$) |  |
| 1202                | 18       | 2202                | 9        | Claims in excess of 20                                     |
| 1201                | 84       | 2201                | 42       | Independent claims in excess of 3                          |
| 1203                | 280      | 2203                | 140      | Multiple dependent claim, if not paid                      |
| 1204                | 84       | 2204                | 42       | ** Reissue independent claims over original patent         |
| 1205                | 18       | 2205                | 9        | ** Reissue claims in excess of 20 and over original patent |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$)        | Small Entity Fee Code (\$) | Fee Description  | Fee Paid    |
|-----------------------------------|----------------------------|--|-------------|
| 1051 130                          | 2051 65                    | Surcharge - late filing fee or oath  |             |
| 1052 50                           | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053 130                          | 1053 130                   | Non-English specification  |             |
| 1812 2,520                        | 1812 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |             |
| 1804 920*                         | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |             |
| 1805 1,840*                       | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |             |
| 1251 110                          | 2251 55                    | Extension for reply within first month                                     |             |
| 1252 410                          | 2252 205                   | Extension for reply within second month                                    |             |
| 1253 930                          | 2253 465                   | Extension for reply within third month                                     |             |
| 1254 1,450                        | 2254 725                   | Extension for reply within fourth month                                    |             |
| 1255 1,970                        | 2255 985                   | Extension for reply within fifth month                                     |             |
| 1401 320                          | 2401 160                   | Notice of Appeal   |             |
| 1402 320                          | 2402 160                   | Filing brief in support of an appeal                                       |             |
| 1403 280                          | 2403 140                   | Request for oral hearing   |             |
| 1451 1,510                        | 1451 1,510                 | Petition to institute a public use proceeding                              |             |
| 1452 110                          | 2452 55                    | Petition to revive - unavoidable   |             |
| 1453 1,300                        | 2453 650                   | Petition to revive - unintentional   |             |
| 1501 1,300                        | 2501 650                   | Utility issue fee (or reissue)   |             |
| 1502 470                          | 2502 235                   | Design issue fee   |             |
| 1503 630                          | 2503 315                   | Plant issue fee  |             |
| 1460 130                          | 1460 130                   | Petitions to the Commissioner  |             |
| 1807 50                           | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |             |
| 1806 180                          | 1806 180                   | Submission of Information Disclosure Stmt                                  |             |
| 8021 40                           | 8021 40                    | Recording each patent assignment per property (times number of properties) | 40.00       |
| 1809 750                          | 2809 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810 750                          | 2810 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801 750                          | 2801 375                   | Request for Continued Examination (RCE)                                    |             |
| 1802 900                          | 1802 900                   | Request for expedited examination of a design application                  |             |
| Other fee (specify)               |                            |  |             |
| *Reduced by Basic Filing Fee Paid |                            |  |             |
| SUBTOTAL (3)                      |                            |  | (\$ ) 40.00 |

## SUBMITTED BY

Name (Print/Type) Glenn J. Perry Registration No. 28458 Telephone (703) 905-2161  
Signature Date July 17, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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